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Section 7b



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*LIMITED TO MATTERS
 AND PROCEEDINGS BEFORE
 FEDERAL COURTS & AGENCIES
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 ***SENIOR COUNSEL

July 25, 2001

WRITER'S DIRECT NUMBER:
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Commissioner for Patents
 Washington, D.C. 20231

Box Missing Parts

Re: U.S. Utility Patent Application
 Appl. No. 09/817,229; filed March 27, 2001
 For: **Methods for Effecting Neuroprotection**
 Inventors: Ferguson *et al.*
 Our Ref: 1669.0040001/SRL/BLS

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Fee Transmittal (Form PTO/SB/17) (in duplicate);
2. Copy of "Notice to File Missing Parts of Nonprovisional Application";
3. Declaration, executed by the inventors;
4. Letter to PTO Draftsman: Submission of Formal Drawings;
5. Five (5) sheets of Formal Drawings (Figures 1-5);
6. Return postcard; and
7. Our check no. 32002 for \$130.00 to cover the surcharge for late filing of declaration (37 C.F.R. § 1.16(e)).

09/817,229

Commissioner for Patents

July 25, 2001

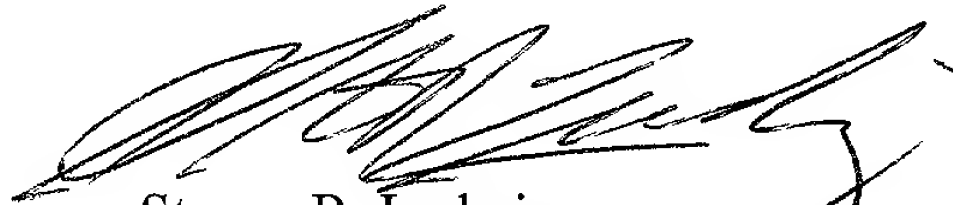
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It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Steven R. Ludwig
Attorney for Applicants
Registration No. 36,203

SRL/BLS/dab

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Enclosures

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/817,229	03/27/2001	Alastair V. Ferguson	1669.0040001

CONFIRMATION NO. 8063

FORMALITIES LETTER



OC00000006117746

STERNE, KESSLER, GOLDSTEIN & FOX PLLC
1100 NEW YORK AVENUE, N.W., SUITE 600
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Date Mailed: 05/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

07/26/2001 JBALINAN 00000091 09817229

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/27/2011 10:00 AM